

PART B - FEE(S) TRANSMITTAL

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22877 7590 06/22/2007
FERNANDEZ & ASSOCIATES LLP
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MENLO PARK, CA 94025

FERNANDEZ & ASSOCIATES	(Depositor's name)
[Signature]	(Signature)
7/11/07	(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/776,146 02/10/2004 Lawrence C. Gunn III LUX-P020 3066

TITLE OF INVENTION: OPTICAL WAVEGUIDE GRATING COUPLER WITH VARYING SCATTER CROSS SECTIONS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
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nonprovisional YES \$700 \$0 \$0 \$700 09/24/2007

EXAMINER	ART UNIT	CLASS-SUBCLASS
SONG, SARAH U	2874	385-037000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.263).
☒ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list
(1) the names of up to 3 registered patent attorneys or agents OR, alternatively, FERNANDEZ & ASSOCIATES, LLP
(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.
3

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

Luxtera, Inc.

(B) RESIDENCE: (CITY AND STATE OR COUNTRY)

Carlsbad, CA

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

☒ Issue Fee
☐ Publication Fee (No small entity discount permitted)
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5. Change in Entity Status (from status indicated above)

☒ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

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Authorized Signature [Signature]

Date 7/11/07

Typed or printed name DENNIS S. FERNANDEZ

Registration No. 34,160

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